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## INVOLUTIONS IN BANACH ALGEBRA

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**ABSTRACT :** In this paper, we define some definition related to involution, examples, Gelfand Nahnark Theorem has important role.

**1.INTRODUCTION :** Involution has important role in Banach algebra. In this paper we discuss self adjoint, hermitian, Banach algebra corollary, Gelfand Nahnark Theorem, isometry and isomorphism studied.

**2.Definition :** A map  $x \rightarrow x^*$  of a complex algebra  $A$  into  $A$  is called an involution of  $A$  if it has the following properties for all  $x, y \in A$  and  $\lambda \in C$ .

$$(1) (x + y)^* = x^* + y^*$$

$$(2) (\lambda x)^* = \bar{\lambda} x^*$$

$$(3) (xy)^* = y^* x^*$$

$$(4) x^{**} = x$$

**2.1.Definition :** If  $x \in A$  and  $x^* = x$ , then  $x$  is called hermitian or self adjoint.

**Example :**  $f \rightarrow \bar{f}$  is an involution on  $C(X)$

**2.1.Theorem :** If  $A$  is a Banach algebra with an involution, and if  $x \in A$ , then

- $x + x^*, i(x - x^*)$  and  $xx^*$  are hermitian.
- $x$  has a unique representation  $x = u + iv$  where  $u, v \in A$  and  $u$  and  $v$  are hermitian.
- The unit element  $e$  is hermitian
- $x$  is invertible in  $A$  if and only if  $x^*$  is invertible in which case  $(x^*)^{-1} = (x^{-1})^*$  and

$$e) \lambda \in \sigma(x) \text{ iff } \bar{\lambda} \in \sigma(x^*)$$

**Proof:**  $(x + x^*) = x^* + x^{**} = x^* x = x + x^*$ . Hence  $x + x^*$  is hermitian.

$$[i(x - x^*)]^* = \bar{i}(x - x^*)^* = -i[x^* - (x^*)^*] = -i(x^* - x) = i(x - x^*)$$

$(xx^*)^* = (x^*)^* \cdot x^* = x \cdot x^*$ . Hence  $i(x - x^*)^*$  and  $x^*$  and  $x$  are hermitian.

- a) Put  $u = \frac{x + x^*}{2}$  and  $v = \frac{i(x^* - x)}{2}$ . Then  $x = u + iv$ . Clearly  $u, v$  are hermitian since  $x + x^*$  is hermitian and also  $\frac{i(x^* - x)}{2}$  is hermitian. The uniqueness of the representation is yet to be proved. If  $u' + iv' = x$  is another representation then put  $w = v' - v$ . Then both  $w$  and  $iw$  are hermitian and  $iw = (iw)^* = -iw^* = -iw$  i.e.  $iw + iw = 0$  i.e.  $2iw = 0$  (ie)  $v' = v$ . Since  $v' = v, u' = u$

Hence the representation is unique.

- b) Clearly  $e^* = ee^*$ . But  $ee^*$  is self adjoint. Hence  $e^*$  is self adjoint. Hence  $e$  is self adjoint.
- c) Since  $x$  is invertible  $\exists, x^{-1}$  s.t.  $xx^{-1} = e$

Now  $(xx^{-1})^* = (x^{-1})x^* = e^* = e$  ( $\because e$  is self adjoint)

$\therefore (x^{-1})^*$  is the inverse of  $x^*$

But  $(x^*)^{-1}$  is the inverse of  $x^*$  and hence  $(x^{-1})^* = (x^*)^{-1}$

- d) Let  $\lambda \in \sigma(x)$ . Then  $(\lambda e - x)$  is not invertible. Hence  $(\lambda e - x)^*$  is not invertible (ie)  $(\bar{\lambda} e - x^*)$  is not invertible. Hence  $\bar{\lambda} \in \sigma(x^*)$  the converse follows analogously.

**3.Definition :** If  $A$  is a Banach algebra with an involution  $*$ , which satisfies the  $\|xx^*\| = \|x\|^2$  for every  $x \in A$  then  $A$  is called a  $B^*$  algebra.

**3.1.Theorem :** If  $A$  is a semi simple commutative Banach algebra, then involution on  $A$  is continuous

**Proof:** Let  $h$  be a homomorphism of  $A$

$$\text{Define } \phi(x) = \overline{h}(x^*),$$

$$\begin{aligned} \text{Then } \phi(x+y) &= \overline{h}[(x+y)^*] = \overline{h}(x^*+y^*) \\ &= \overline{h}(x^*) + \overline{h}(y^*) = \phi(x) + \phi(y) \end{aligned}$$

$$\begin{aligned} \phi(\alpha \cdot x) &= \overline{h}[(\alpha \cdot x)^*] = \overline{h}(\overline{\alpha}x^*) \\ &= \overline{h(\overline{\alpha}, x^*)} = \overline{\overline{\alpha}h(x^*)} \\ &= \alpha \cdot \overline{h}(x^*) \\ &= \alpha \phi(x) \end{aligned}$$

$$\begin{aligned} \text{Similarly } \phi(xy) &= \overline{h}((xy)^*) = \overline{h}(y^*x^*) \\ &= \overline{h(y^*x^*)} \\ &= \overline{h(y^*)h(x^*)} \\ &= \overline{h}(y^*)\overline{h}(x^*) \\ &= \phi(y) \cdot \phi(x) = \phi(x) \cdot \phi(y) \end{aligned}$$

Hence  $\phi$  is a complex homomorphism on  $A$ . Then  $\phi$  is continuous. For, suppose  $x_n \rightarrow x$ , and  $x_n^* \rightarrow y$  in  $A$

$$\text{Then } \overline{h}(x^*) = \phi(x) = \text{Lim} \phi(x_n) = \text{Lim} \overline{h}(x_n^*) = \overline{h}(y)$$

This is true for every  $h \in \Delta$ .

Since A is semisimple  $x^* = y$ . Hence  $x \rightarrow x^*$  is continuous by closed graph theorem.

**3.1. Corollary**

A is a B\* algebra, iff  $\|x^*\| = \|x\| \forall x \in A$

and  $\|xx^*\| = \|x\|\|x\|^*$

For, we have  $\|x\|^2 = \|xx^*\| \leq \|x\|\|x^*\|$

$$\text{Hence } \|x\| \leq \|x^*\| \dots\dots\dots (1)$$

Similarly  $\|x^*\| \leq \|x^{**}\| = \|x\| \dots\dots\dots (2)$

From (1) and (2)  $\|x^*\| = \|x\|$

$$\text{Now } \|xx^*\| = \|x\|^2 = \|x\| \cdot \|x\| = \|x\|\|x^*\|$$

Conversely, we have that if  $\|x\| = \|x^*\|$  for every  $x \in A$  and  $\|xx^*\| = \|x\| \cdot \|x^*\|$ , then  $\|xx^*\| = \|x\|\|x^*\| = \|x\| \cdot \|x\| = \|x\|^2$ . Hence A is a B\*-Algebra

**3.2. Theorem: Gelfand-Nahark Theorem**

Suppose A is a commulative B\* algebra, with maximal ideal space  $\Delta$ . The Gelfand transform is then an isometric isomorphism of A onto  $C(\Delta)$  which has the additional property that

$$h(x^*) = \overline{h(x)} (x \in A, h \in \Delta)$$

or equivalently, that

$$\widehat{(x^*)} = \overline{\widehat{x}} (x \in A)$$

In particular, x is hermitian if and only if  $\widehat{x}$  is a real function.

The above theorem is called Gelfand - Nahnark theorem

**Proof:** Let  $u \in A$  s.t.  $u = u^*$ . Let  $h \in \Delta$ . We have to prove that  $h(u)$  is real.

Put  $z = u + ite$  for real  $t$ . If  $h(u) = \alpha + i\beta$  where  $\alpha, \beta$  are reals then

$$\begin{aligned} h(z) &= h(u + ite) = h(u) + h(ite) \\ &= \alpha + i\beta + it.h(e) \\ &= \alpha + i\beta + it = \alpha + i(\beta + t) \end{aligned}$$

$$zz^* = u^2 + t^2e \text{ so that}$$

$$\alpha^2 + (\beta + t)^2 = |h(z)|^2 \leq \|z\|^2 = \|zz^*\| \leq \|u\|^2 + t^2$$

$$\text{or } \alpha^2 + \beta^2 + 2\beta t \leq \|u\|^2 \quad \forall t \in \text{Real}$$

But this implies that  $\beta = 0$ . Hence  $h(u)$  is real.

If  $x \in A$ , then  $x = u + iv$  with  $u = u^*, v = v^*$

Hence  $x^* = u - iv$ . Since  $\hat{u}$  and  $\hat{v}$  are real, we have

$$(\hat{x}^*)^{\wedge}(h) = \hat{u} - i\hat{v} \text{ for every } h \in \Delta \text{ (i.e.) } (\hat{x}^*)^{\wedge} = \overline{\hat{x}}$$

Thus  $\overline{\hat{A}}$  is closed under complex conjugation. By Stone Weierstrass theorem is dense in  $C[\Delta]$

If  $x \in A$  and  $y = xx^*$ , then  $y = y^*$ . Hence  $\|y^2\| = \|y\|^2$ . By induction, we get that  $\|y^m\| = \|y\|^m$  for every  $m = 2^n$ .

Hence  $\|\hat{y}\|_{\infty} = \|y\|$  by the spectral radius formula. Since  $y = xx^*$

$$\text{we have } \hat{y} = \hat{x}(x^*)^{\wedge} = \hat{x}\hat{x} = |\hat{x}|^2$$

Hence  $\|\hat{x}\|_{\infty}^2 = \|y\| = \|xx^*\| = \|x\|^2$  or  $\|\hat{x}\|_{\infty} = \|x\|$ .

Thus  $x \rightarrow \hat{x}$  is an isometry. Hence  $\bar{A}$  is closed in  $C(\Delta)$ . Since  $A$  is also dense in  $C(\Delta)$ , we conclude that  $\hat{A} = C(\Delta)$ . Hence the proof.

**3.3.Theorem :** If  $A$  is a commutative  $B^*$  algebra which contains an element  $x$  such that the polynomials in  $x$  and  $x^*$  are dense in  $A$ . then the formula  $(\Psi f)^{\wedge} = f \circ \hat{x}$  defines an isometric isomorphism  $\Psi$  of  $C(\sigma(x))$  onto  $A$  which satisfies.

$\Psi \hat{f} = (\Psi f)^*$  for every  $f \in C(\sigma(x))$ . More over if  $f(\lambda) = \lambda$  on  $\sigma(x)$  then  $\Psi f = x$ .

**Proof:** Let  $\Delta$  be the maximal ideal space of  $A$ . We know that  $\hat{x}$  is a continuous function on  $\Delta$ . The range of  $\hat{x}$  is  $\sigma(x)$ . Suppose  $h_1, h_2 \in \Delta$  and  $\hat{x}(h_1) = \hat{x}(h_2)$ , then  $h_1(x) = h_2(x)$  and hence  $h_1(x^*) = h_2(x^*)$  by the previous theorem. If  $P$  is any polynomial in  $x$  and  $x^*$ , then  $h_1(P) = h_2(P)$  since  $h_1$ , and  $h_2$ , are homomorphisms. By hypothesis, the elements of the form  $P(x, x^*)$  are dense in  $A$  and since  $h_1$ , and  $h_2$ , are continuous we have  $h_1(y) = h_2(y)$  for every  $y \in A$ . Hence  $h_1 = h_2$ . Hence we have proved that  $\hat{x}(h_1) = \hat{x}(h_2)$  for every  $y \in A$ . Hence  $h_1 = h_2$ , (i.e.)  $\hat{x}$  is 1-1. Since  $\hat{x}$  is continuous and onto  $\sigma(x)$  and  $|\cdot|$  we have that  $x$  is homeomorphism of  $\Delta$  onto  $\sigma(x)$  (By Vadiyanatha swamy's theorem). The mapping  $f \rightarrow f \circ \hat{x}$  is therefore an isometric isomorphism of  $C(\sigma(x)) \rightarrow C(\Delta)$  which preserves complex conjugation.

By the previous theorem, each  $\Psi f$  is the Gelfand transform of a unique element of  $A$ , which we denote by  $\Psi f$  and which satisfies  $\|\Psi f\| = \|f\|_{\infty}$  [Since we have that  $(x^*)^{\wedge} = \hat{x}$  by the previous theorem]. We have  $\Psi \hat{f} = (\Psi(f))^*$ . If  $f(\lambda) = \lambda$ , then  $f \circ \hat{x} = \hat{x}$  so that we have  $(\Psi \hat{f}) = \hat{x}(ie) \Psi f = x$ .

We are interested in knowing the existence of square roots in a Banach algebra. The following theorem is one in that direction.

**3.4.Theorem :** Suppose  $A$  is a commutative Banach algebra with an involution. If  $x$  is a self adjoint element of  $A$  and if  $\sigma(x)$  contains no real number  $\lambda \leq 0$ , then there exists  $y \in A$  with  $y^2 = x$  and  $y = y^*$ .

**Proof:** Let  $R$  denote the non positive real numbers and let  $\Omega = C - R^-$ . There exists a holomorphic function  $f \in H(\Omega)$  such that  $f^2(\lambda)$  and  $f(1) = 1$ . Since  $\sigma(x) \subset \Omega$ , we can define  $y \in A$  as

$$y = \hat{f}(x) = \frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda e - x)^{-1} d\lambda$$

Where  $\Gamma$  is any contour that surrounds  $\sigma(x)$  in  $\Omega$ . Then it can be proved that  $y^2 = x$  [For a proof the student is referred to Defn and theorem of "Functional Analysis" by Rudin. This is the required  $y$  and  $y^* = y$ . To prove  $y^*=y$  we need what is called Runge's theorem in complex analysis.

Since  $\Omega$  is simply connected 'Runge's'. Theorem gives polynomials  $P$ , that converge to uniformly on compact subsets of  $\Omega$ . Define  $Q_n$ , by

$2Q_n(\lambda) = P_n(\lambda) + \overline{P_n(\lambda)}$ . Since  $f(\bar{\lambda}) = \overline{f(\lambda)}$  the polynomials  $Q_n \rightarrow f$  in the same manner [(ie) uniformly on compact sets]

Define  $y_n = Q_n(x)$ . ( $n=1, 2, 3, \dots$ ) By definition, the polynomials  $Q_n$  have real coefficients. Since  $x = x^*$ , it follows that  $y_n = y_n^*$

The element  $y = \lim_{n \rightarrow \infty} y_n$ , and hence  $y = y^*$ . if  $f^*$  is continuous. Even if  $f^*$  is not assumed to be continuous we can give a different argument to prove that  $y=y^*$  as follows.

Let  $R$  be the radical of  $A$ . Let  $\pi: A \rightarrow A/R$  be the quotient map. Define an involution in  $A/R$  by

$$[\pi(a)]^* = \pi(a^*) \text{ for } a \in A$$

If  $a$  is hermitian, then so is it  $\pi(a)$

Since  $\pi$  it is continuous,  $\pi(y_n) \rightarrow \pi(y)$

Since  $A/R$  is isomorphic to  $A$ .  $A/R$  is semi simple and therefore every involution in  $A/R$  is continuous. Hence  $\pi(y)$  is hermitian. Hence  $\pi(y - y^*) = 0$  (ie)  $y - y^*$  is in the radical of  $A$ .

Now we can write  $y = u + iv$  where  $u$  and  $v$  are hermitian. Since  $y - y^* \in R$ , hermitian  $v$  belongs to the radical of  $A$ . Since  $x = y^2$  we have  $x = u^2 - v^2 + 2iuv$ .

Let  $h$  be a complex homomorphism on  $A$ . Since  $v$  is in the radical of  $A$ ,  $h(v) = 0$ . Hence  $h(x) = [h(u)]^2$  By hypothesis  $0 \notin \sigma(x)$ . Hence  $h(x) \neq 0$ . Hence  $h(x) \neq 0$ . This is true for every  $h \in \Delta$  (ie)  $u$  is invertible.

Since  $x=x^*$

and since  $x = u^2 - v^2 + 2iuv$ , we have that  $uv = 0$

Since  $v = u^{-1}(u \cdot v)$  we have that  $v = u^{-1} \cdot 0 = 0$

Hence  $v = u$  and hence  $y^* = u^*$  But  $u$  is hermitian and hence  $y^* = y$

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